



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/991,953	11/26/2001	Toshiyasu L. Kunii	13826

Toshiyasu

CONFIRMATION NO. 5231

## FORMALITIES LETTER



\*OC000000007159956\*

Dowell & Dowell, P.C.  
Suite 309  
1215 Jefferson Davis Highway  
Arlington, VA 22202

Date Mailed: 12/05/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

03/28/2002 SSALLEKU 00000018 041577 09991953

01 FC:105 10.00 CH 120.00 OP

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Adjustment date: 03/28/2002 SSALLEKU  
03/07/2002 SDENB081 00000004 09991953  
02 FC:205

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DEC 10 2001

BY:.....

03/07/2002 SDENB081 00000004 09991953

02 FC:205

120.00 OP

#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:  
Tosiyasu L. KUNII

Serial No.: 09/991,953

Filed: November 26, 2001

For: ELECTRONIC COMMERCIAL  
TRANSACTION SUPPORTING  
METHOD AND SYSTEM, AND  
BUSINESS INFORMATION  
MANAGEMENT SYSTEM  
THEREFOR



Group Art Unit: 2162

Examiner:

Attorney Docket: 13826

Commissioner for Patents  
Washington, D.C. 20231  
U.S.A.

Dear Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION**

Responsive to the Notice to File Missing Parts of December 5, 2001, we enclose  
the following:

- (i) a copy of the Notice;
- (ii) a fully signed Declaration and Power of Attorney; and
- (iii) a cheque in the amount of US\$120.00 in payment of the requisite missing parts  
fee and the one month extension of time petition fee.
- (iv) petition for extension of time under 37 CFR 1.136(a) – small entity;

**Please note that due to a clerical error, the inventor's first name was spelled  
incorrectly as Tos[h]iyasu on the original transmittal form. The inventor's full name  
should be Tosiyasu L. KUNII, as indicated on the enclosed fully signed Declaration and  
Power of Attorney.**

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Group Art Unit 2162  
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Please deduct any underpayment or credit any overpayment of the fee to our  
deposit account No. 04-1577.

Respectfully submitted,



Ralph A. Dowell  
Registration No. 26, 868  
Dowell & Dowell P.C.  
Suite 309  
1215 Jefferson Davis Highway  
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U.S.A. 22202

Date: 2/04/02  
NWH/mis/92709-5/March 1, 2002